

Essar Shipping Limited
Shareholding Pattern for the Quarter Ended September 30, 2015
As per Clause 35 of the Listing Agreement

Introductory sub-table (I)(a)			
Name of the Company: Essar Shipping Limited			
Scrip Code: 533704			
Name of the scrip: Essar Shipping			
Class of security: Equity Shares			
Quarter ended: September 30, 2015			
Partly paid-up shares	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Outstanding Convertible Securities(FCCBs)	No. of Outstanding Convertible Securities (FCCBs)	As a % of total no. of Outstanding Convertible Securities (FCCBs)	As a % of total No. of shares of the Company, assuming full conversion of the Convertible Securities – FCCBs
Held by promoter/promoter group	2,800	100.00	37.44
Held by public	-	-	-
Total	2,800	100.00	37.44
Warrants	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	-	-	-
Held by public	-	-	-
Total	-	-	-
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (FCCBs) (No. of Equity Shares)			328,080,555



Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares otherwise encumbered	As a percentage (IX)= (VIII)/(IV)*100
					As a percentage of(A+B) ¹ (VII)	As a percentage of (A+B+C) (VIII)		
(I)	(II)	(III)	(IV)	(V)	(VII)	(VIII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Promoters and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-
(c)	Bodies Corporate	2	81,52,020	81,52,020	3.97	3.97	80,83,938	99.16
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (A)(1)	2	81,52,020	81,52,020	3.97	3.97	80,83,938	99.16
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	3	14,57,68,806	14,57,68,806	71.03	71.03	14,57,68,773	100.00
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	3	14,57,68,806	14,57,68,806	71.03	71.03	14,57,68,773	100.00
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	15,39,20,826	15,39,20,826	75.00	75.00	15,38,52,711	99.96
B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds/ UTI	31	17,718	1,657	0.01	0.01	-	-



Statement Showing Shareholding Pattern

Table (I)(a)

Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of Shares otherwise pledged or encumbered	As a percentage (VIII)/(IV)*100
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(b)	Financial Institutions/ Banks	109	26,127	1,701	0.01	0.01	-	-
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies	4	1,20,108	1,20,092	0.06	0.06	-	-
(f)	Foreign Institutional Investors	43	1,99,28,596	1,99,22,444	9.71	9.71	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	187	2,00,92,549	2,00,45,894	9.79	9.79	-	-
(2)	Non-Institutions							
(a)	Bodies Corporate	955	1,52,20,755	1,51,75,010	7.42	7.42	-	-
(b)	Individuals	-	-	-	-	-	-	-
I	Individual shareholders holding nominal share capital upto Rs.1 lakh.	90,122	90,32,203	66,87,155	4.40	4.40	-	-
II	Individual shareholders holding nominal share capital in excess of Rs.1 lakh	108	62,62,333	62,26,333	3.05	3.05	-	-
(c)	Qualified Foreign Investor	-	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-	-
(d-i)	Non Resident	1,003	6,99,102	6,33,727	0.34	0.34	-	-
(d-ii)	Non Domestic	-	-	-	-	-	-	-
	Sub-Total (B)(2)	92,188	3,12,14,393	2,87,22,225	15.21	15.21	-	-



Statement Showing Shareholding Pattern

Table (I)(a)									
Category code	Category of Shareholder	Number of Shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Number of shares	Shares Pledged or otherwise encumbered	As a percentage (IX)= (VIII)/(IV)*100
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)			
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		
	Total Public Shareholding (B)=(B(1)+B(2))	92,375	51,306,942	4,87,68,119	25.00	25.00	-		
	TOTAL (A) + (B)	92,380	20,52,27,768	20,26,88,945	100.00	100.00	15,38,52,711		99.96
(C)	Shares held by Custodians and against which Depository Receipts have been issued								
1	Promoter and Promoter Group	-	-	-	-	-	-	-	-
2	Public	-	-	-	-	-	-	-	-
	Sub-Total (C)	0	0	0	0	0	0	0	-
	GRAND TOTAL (A)+(B)+(C)	92,380	20,52,27,768	20,26,88,945	100.00	100.00	15,38,52,711		99.96
Notes:									
a)	For determining public shareholding for the purpose of Clause 40A								
b)	For definitions of "Promoter" and "Promoter Group", refer to Clause 40A								
c)	For definitions of "Public Shareholding", refer to Clause 40A								
d)	The above shareholding is as per the disclosures received by the Company under SEBI Substantial Acquisition and Takeover Regulation 1997.								

For Essar Shipping Limited

Awaneesh Sinvastava
Company Secretary



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Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"													
Sr. No.	Name of the shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants			Details of convertible securities (FCCBs)		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a)	As a % of grand total (A)+(B)+(C) of sub-clause (i)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities (FCCBs) held	As a % of total number of convertible securities (FCCBs) of the same class			
(I)(b)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	
1	Essar Ports & Shipping Limited (formerly known as Essar Port Holdings Mauritius Limited)	12,43,62,408	60.60	12,43,62,408	100.00	60.60	-	-	2,800	100.00	-	37.91	
2	Essar shipping & logistics limited	2,14,06,365	10.43	2,14,06,365	100.00	10.43	-	-	2,800	100.00	-	43.97	
3	Imperial Consultants & Securities Private Limited	6,878,409	3.35	6,810,327	99.01	3.32	-	-	-	-	-	2.10	
4	Essar steel India limited	1,273,611	0.62	1,273,611	100.00	0.62	-	-	-	-	-	0.38	
5	Essar Global Fund Limited (formerly known as Essar Global Limited)	33	0.00	0	0.00	0.00	-	-	-	-	-	0.00	
	TOTAL	153,920,826	75.00	153,852,711	99.72	74.97	-	-	2,800	100.00	-	84.36	



) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	India Max Investment Fund Limited	9,080,661	4.42	-	-	-	-	2.77
2	Leman Diversified Fund	6,427,119	3.13	-	-	-	-	1.96
3	Clairvoyance Energy Private Limited	31,05,000	1.51	-	-	-	-	0.94
4	India Capital Markets Private Limited	27,50,000	1.34	-	-	-	-	0.83
5	Kredence Multi Trading Limited	2,675,179	1.30	-	-	-	-	0.82
6	Subodh Maskara	2,604,359	1.27	-	-	-	-	0.79
7	Stream Value Fund	2,280,000	1.11	-	-	-	-	0.69
Total		2,89,22,318	14.09	-	-	-	-	8.80



(I)(c)(ii)	Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Not Applicable	-	-	-	-	-	-	-
TOTAL		-	-	-	-	-	-	-

(I)(d)	Statement showing details of locked-in shares	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter/Promoter Group/Public
1	Not Applicable	-	-	Promoter/Promoter Group
TOTAL		-	-	Promoter/Promoter Group

(II)(a)	Statement showing details of Depository Receipts (DRs)			
Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Not Applicable	-	-	-
Total		-	-	-

(II)(b)	Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares			
Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Not Applicable	-	-	-
Total		-	-	-



(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer

(Give description of voting rights for each class of security)

Class X : Equity Shares

Class Y : -

Class Z : -

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities	Class X	Class Y	Class Z	Total Rights (III+IV+V)	Voting Rights (III+IV+V)	Total (VI) 205227768	Voting (VII) As a percentage of (A+B)	rights (VIII) As a percentage of (A+B+C)	i.e
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(A)	Promoters and Promoter Group										
(1)	Indian										
(a)	Individuals/ Hindu Undivided family	-	-	-	-	-	-	-	-	-	-
(b)	Central Government/ State Government(s)	-	-	-	-	-	-	-	-	-	-
(c)	Bodies Corporate	8,152,020	-	-	-	8,152,020	-	-	3.97	-	3.97
(d)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-	-	-
(e)	Any other (Specify)	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(1)	8,152,020	-	-	-	8,152,020	-	-	3.97	-	3.97
(2)	Foreign										
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-	-	-	-
(b)	Bodies Corporate	145,768,806	-	-	-	145,768,806	-	-	71.04	-	71.04
(c)	Institutions	-	-	-	-	-	-	-	-	-	-
(d)	Any other (Specify)	-	-	-	-	-	-	-	-	-	-
	Sub-Total (A)(2)	145,768,806	-	-	-	145,768,806	-	-	71.04	-	71.04
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	153,920,826	-	-	-	153,920,826	-	-	75.00	-	75.00
(B)	Public Shareholding										
(1)	Institutions										
(a)	Mutual Funds/ UTI	17,718	-	-	-	17,718	-	-	0.01	-	0.01
(b)	Financial Institutions/ Banks	26,127	-	-	-	26,127	-	-	0.01	-	0.01
(c)	Central Government/State Government(s)	-	-	-	-	-	-	-	-	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-	-	-	-
(e)	Insurance Companies	120,108	-	-	-	120,108	-	-	0.06	-	0.06
(f)	Foreign Institutional Investors	1,99,28,596	-	-	-	1,99,28,596	-	-	9.71	-	9.71



(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer
 (Give description of voting rights for each class of security)

Class X : Equity Shares

Class Y : -

Class Z : -

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities				Total Rights (III+IV+V)	Total Voting (VII) 205227768	As a percentage of (A+B) (VIII)	As a percentage of (A+B+C) (VIII)	i.e
		Class X	Class Y	Class Z	Class Z					
(l)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(VIII)		
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-		
(h)	Any other (Specify)	-	-	-	-	-	-	-		
(2)	Sub-Total (B)(1)	2,00,92,549	-	-	-	2,00,92,549	9.79	9.79		
	Non-Institutions									
(a)	Bodies Corporate	1,52,20,755	-	-	-	1,52,20,755	7.42	7.42		
(b)	Individuals	-	-	-	-	-	-	-		
	Individual shareholders holding nominal share capital upto Rs.1 lakh.	90,32,203	-	-	-	90,32,203	4.40	4.40		
	Individual shareholders holding nominal share capital in excess of Rs.1 lakh	62,62,333	-	-	-	62,62,333	3.05	3.05		
(c)	Any other (Specify)									
	Non Resident Individuals	6,99,102	-	-	-	6,99,102	0.34	0.34		
	Non Domestic Company	-	-	-	-	-	-	-		
	Sub-Total (B)(2)	3,12,14,393	-	-	-	3,12,14,193	15.21	15.21		
	Total Public Shareholding (B)=(B)(1)+B(2)	51,306,942	-	-	-	51,306,942	25.00	25.00		
	TOTAL (A) + (B)	20,52,27,768	-	-	-	20,52,27,768	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	-	-	-	-		
	GRAND TOTAL (A)+(B)+(C)	20,52,27,768	-	-	-	20,52,27,768	100.00	100.00		

For Essar Shipping Limited

Awaneesh S Nvastava
 Company Secretary



(Signature)