

Statement of Holding of Specified Securities and Holding of Specified Securities in Dematerialized Form

1.	Name of Listed Entity:	ESSAR SHIPPING LIMITED		
2.	Scrip Code / Name of Scrip / Class of Security:	507747 / EQUITY		
3.	Shareholding Patter filed under:	Regulation 31(1)(b) - For the Quarter ended 30.09.2016		
4.	Declaration:	The Listed entity is required to submit the following declaration to the extent of submission of information.		
	Particulars			
		Yes	No	
1.	Whether the Listed Entity has issued any partly paid up shares?		✓	
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?	✓		
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		✓	
4.	Whether the Listed Entity has any shares in locked-in?		✓	
5.	Whether any shares held by Promoters are pledged or otherwise encumbered?	✓		

*If the Listed Entity selects the option "No" for the questions above, the columns for the partly paid-up shares, Outstanding Convertible Securities / Warrants, Depository Receipts, Locked-in Shares, No. of Shares Pledged or otherwise encumbered by Promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is "No" declared by Listed Entity in above table the values will be considered as "Zero" by default on submission of the format of holding of specified securities.

For Essar Shipping Limited

Awapneesh Srivastava
Company Secretary



5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of Specified Securities

Category	Category of Shareholder	No. of Share-holders	No. of fully paid-up Equity Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (IV)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Shares Underlying Outstanding Convertible Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of Equity Shares held in Dematerialised Form
								Class Eg. X	Class Eg. Y	Total				No.	As a % of total shares held	No.	As a % of total shares held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	5	153920826			153920826	74.37			153920826	74.37	122852787	83.91			153852711		153920826
(B)	Public	89603	51445149			51445149	24.86			51445149	24.86	0	15.6			0		48977697
(C)	Non-Promoter - Non-Public						NA											
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts	1	1610097			1610097	0.78			1610097	0.78		0.49					1610097
Total		89609	206976072			206976072	100.00			206976072	100.00		100			153852711		204508620

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category and Name of the Shareholders	PAN	No. of Share-holders	No. of fully paid-up Equity Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (VII)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding Convertible Securities (Including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of A+B+C2	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered	Number of Equity Shares held in Dematerialised Form		
								No. of Voting Rights		Total as a % of Total Voting Rights			No. (a)	As a % of total shares held (b)			No. (a)	As a % of total shares held (b)
								Class X	Class Y									
(I) Indian	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)			
(a) Individuals / Hindu Undivided Family	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(b) Central Government / State Government(s)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(c) Name (xyz)																		
(c) Financial Institutions / Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(c) Name (xyz)																		
(c) Any other (specify) – Bodies Corporate																		
(c) Imperial Consultants & Securities Private Limited	AAACG4413G	1	6878409	0	0	6878409	3.32	6878409	0	6878409	3.32	2.08	0	6810327	99.01	6878409		
2 Essar Steel India Limited	AAACE1741P	1	1273611	0	0	1273611	0.62	1273611	0	1273611	0.62	0.38	0	1273611	100.00	1273611		
(2) Sub-Total (A)(1)		2	8152020	0	0	8152020	3.94	8152020	0	8152020	3.94	2.46	0	8083938	99.16	8152020		
(2) Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	NA	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(a) Name (xyz)																		
(b) Government	NA	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(b) Name (xyz)																		
(c) Institutions	NA	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(c) Name (xyz)																		
(d) Foreign Portfolio Investor	NA	0	0	0	0	0	0	0	0	0	0	0	0	0	0			
(d) Name (xyz)																		
(c) Any other (specify) – Bodies Corporate																		
(c) Essar Ports & Shipping Limited (Formerly known as Essar Port Holding Mauritius Limited)	AADCE8083D	1	124362408	0	0	124362408	60.09	124362408	0	124362408	60.09	37.71	0	0	124362408	100.00	124362408	
2 Essar Shipping & Logistics Limited	AABCE6167E	1	21406365	0	0	21406365	10.34	21406365	0	21406365	10.34	43.74	0	0	21406365	100.00	21406365	
3 Essar Global Limited	AABCE6209P	1	33	0	0	33	0.00	33	0	33	0.00	0	0	0	0.00	33		
(c) Name (xyz)																		
Sub-Total (A)(2)		3	145768806	0	0	145768806	70.43	145768806	0	145768806	70.43	81.44	0	145768773	99.99	145768806		
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	153920826	0	0	153920826	74.37	153920826	0	153920826	74.37	122852787	83.91	153852711	99.95	153920826		

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat / unclaimed suspense account, voting rights which are frozen, etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term Encumbrance has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder																
Category and Name of the Shareholders	PAN	No. of Shareholders	No. of Fully paid-up Equity Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding Convertible Securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of A+B+C2	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered	Number of Equity Shares held in Dematerialised Form
								Class X	Class Y	Total						
(1) Institutions	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)	
(a) Mutual Funds/ UTI	0	31	1,76,85	0	0	1,76,85	0.01	0	0	1,76,85	0.01	0	0	0	1,657	
(b) Venture Capital Funds	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
Name (xyz)																
(c) Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Name (xyz)																
(d) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Name (xyz)																
(e) Foreign Portfolio Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Name (xyz)																
(f) Financial Institutions/ Banks	0	109	26,127	0	0	26,127	0.01	0	0	26,127	0.01	0	0	0	1,701	
Name (xyz)																
(h) Insurance Companies	0	4	1,20,108	0	0	1,20,108	0.06	0	0	1,20,108	0.06	0	0	0	1,20,092	
Name (xyz)																
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
Name (xyz)																
(i) Any other (specify)																
1 Foreign Institutional Investors		42	1,50,76,263	0	0	1,50,76,263	7.28	0	0	1,50,76,263	4.57	0	0	0	1,50,70,111	
Sub-Total (B)(1)		186	1,52,40,183	0	0	1,52,40,183	7.36	0	0	1,52,40,183	4.62	0	0	0	1,51,93,961	
(2) Central Government/ State Government(s) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
Name (xyz)																
(3) Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	
(3) Non-Institutions																
(a) Individuals -																
(i) Individual Shareholders holding nominal share capital upto Rs.2 lakhs.		87,354	9,95,96,12	0	0	9,95,96,12	4.81	0	0	9,95,96,12	4.81	0	0	0	7,64,804	
(ii) Individual Shareholders holding nominal share capital in excess of Rs.2 lakhs		60	7,67,26,47	0	0	7,67,26,47	3.71	0	0	7,67,26,47	2.33	0	0	0	7,67,26,47	
(b) NBFCs registered with RBI																
Name (xyz)																
(c) Employee Trusts																
Name (xyz)																
(d) Overseas Depositories (holding DRs)																
Name (xyz)																
(e) Any other (specify)																
1 Bodies Corporate		10,381	1,79,92,062	0	0	1,79,92,062	8.69	0	0	1,79,92,062	5.46	0	0	0	1,79,46,831	
Non Resident Indians		965	5,80,645	0	0	5,80,645	0.28	0	0	5,80,645	0.17	0	0	0	5,16,513	
Sub-Total (B)(3)		89,417	36,20,49,66	0	0	36,20,49,66	17.49	0	0	36,20,49,66	17.49	0	0	0	33,78,41,36	
Sub-Total (B)(1)		89,603	51,44,51,49	0	0	51,44,51,49	24.86	0	0	51,44,51,49	24.86	0	0	0	48,97,7,697	

Details of the shareholders acting as persons in concert including their Shareholding (No. and %)

Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat / unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons:

Institutions / Non-institutions holding more than 1% of total number of shares

(3) Wait the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non-Promoter - Non-Public Shareholder

Category and Name of the Shareholders	PAN	No. of Share-holders	No. of fully paid-up Equity Shares held	No. of Partly Paid-up Equity shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (IV)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding Convertible Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of A+B+C2	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of Equity Shares held in Dematerialised Form
								Class X	Class Y	Total			Total as a % of Total Voting Rights	(a)	(b)	(a)	
(1)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)		(xiv)
(a) Name of DR Holder (if available)																	
(i) abc.....																	
(ii) efg.....																	
(2) Employee Benefit Trust (under SEBI Regulations, 2014)																	
IL AND FS Trust Company Limited	AAA TE4697F	1	1610097	0	0	1610097	0.78	1610097		1610097	0	0.49	0	0	NA	NA	1610097
Total Non-Promoter - Non-Public Shareholding (C) = (C)(1)+(C)(2)		1	1610097	0	0	1610097	0.78	1610097		1610097	0	0.49	0	0	NA	NA	1610097

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.