

October 11, 2017

The Manager  
Listing Department  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai – 400 001  
Fax: +91 22 2272 2082/3132  
BSE Code: 533704

Essar Shipping Limited  
Essar House  
11 K. K. Marg  
Mahalaxmi  
Mumbai - 400 034  
India

Corporate Identity Number  
L61200GJ2010PLC060285

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[www.essar.com](http://www.essar.com)

The Manager  
Listing Department  
**National Stock Exchange of India Limited**  
“Exchange Plaza”  
Bandra Kurla Complex  
Bandra (E)  
Fax: +91 22 2659 8237/38  
Mumbai – 400 051  
NSE Code: ESSARSHPNG

The Manager  
Wholesale Debt Department  
**National Stock Exchange of India Limited**  
“Exchange Plaza”  
Bandra Kurla Complex  
Bandra (E)  
Fax: +91 22 2659 8237/38  
Mumbai – 400 051  
NSE Code: ESSARSHPNG

Dear Sir/s,

**Sub : Quarterly Compliance Reports/Certificates for the quarter ended September 30, 2017 of the Financial Year 2017 – 2018**

As required under the SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015, we forward herewith the following Reports/Certificates of Essar Shipping Limited for the quarter ended September 30, 2017:

Sr. No.	Regulations of the SEBI (Listing Obligations and Disclosures Requirement) Regulations, 2015	Brief Description of the Reports/Certificates
1	Regulation 31 of the SEBI (LODR) Regulations, 2015	Shareholding Pattern for the quarter ended September 30, 2017.
2	Regulation 55A of the SEBI (Depositories Participants) Regulations, 2015	Reconciliation of Share Capital Audit Report from M/s. V. Mahesh & Associates, Practicing Company Secretaries, dated October 05, 2017 for the quarter ended September 30, 2017
3	Regulation 27(2) of the Listing Agreement	Corporate Governance Report for the quarter ended September 30, 2017.
4	Regulation 40(9) and 40(10) of the SEBI (LODR) Regulations, 2015	Certificate under regulation 40(9) and 40(10) of the SEBI (LODR) Regulations, 2015 for the quarter and half-year ended September 30, 2017.
5	Regulation 7(3) of the SEBI (LODR) Regulations, 2015	Certificate under Regulation 7(3) of the SEBI (LODR) Regulations, 2015

Request you to kindly take it on your records.

Yours Faithfully  
For **Essar Shipping Limited**



**Awaneesh Srivastava**  
**Company Secretary**



**Statement of Holding of Specified Securities and Holding of Specified Securities in Dematerialized Form**

1.		<b>Name of Listed Entity:</b>	<b>ESSAR SHIPPING LIMITED</b>		
2.		<b>Scrip Code / Name of Scrip / Class of Security:</b>	<b>507747 / EQUITY</b>		
3.		<b>Shareholding Patter filed under:</b>	<b>Regulation 31(1)(b) - For the Quarter ended 30.09.2017</b>		
4.		<b>Declaration:</b>	<b>The Listed entity is required to submit the following declaration to the extent of submission of information.</b>		
				<b>Particulars</b>	
			<b>Yes</b>	<b>No</b>	
	1.	<b>Whether the Listed Entity has issued any partly paid up shares?</b>		✓	
	2.	<b>Whether the Listed Entity has issued any Convertible Securities?</b>	✓		
	3.	<b>Whether the Listed Entity has issued any Warrants?</b>		✓	
	4.	<b>Whether the Listed Entity has any shares against which depository receipts are issued?</b>		✓	
	5.	<b>Whether the Listed Entity has any shares in locked-in?</b>		✓	
	6.	<b>Whether any shares held by Promoters are pledged or otherwise encumbered?</b>	✓		
	7.	<b>Whether company has equity shares with differential voting rights?</b>		✓	

\*If the Listed Entity selects the option "No" for the questions above, the columns for the partly paid-up shares, Outstanding Convertible Securities / Warrants, Depository Receipts, Locked-in Shares, No. of Shares Pledged or otherwise encumbered by Promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is "No" declared by Listed Entity in above table the values will be considered as "Zero" by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Category	Category of Shareholder	No. of Share-holders	No. of fully paid-up Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (V)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares underlying Outstanding Convertible Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of Equity Shares held in Dematerialised Form	
								Class Eg. X	Class Eg. Y	Total			Total as a % of (A+B+C)	No. of Locked in Shares	As a % of total shares held	No. of Shares pledged or otherwise encumbered		As a % of total shares held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)											
(A)	Promoter & Promoter Group	5	153920826	0	0	153920826	74.37	153920826		122852787	83.91			153852711	99.95575	153920826		
(B)	Public	88159	52325782	0	0	52325782	25.28	52325782	0	0	15.86		0	0	0	49903860		
(C)	Non-Promoter - Non-Public						NA											
(C1)	Shares underlying DRs																729464	
(C2)	Shares held by Employee Trusts	1	729464			729464	0.35	729464			0.22							
	Total	88165	206976072			206976072	100.00	206976072			100			153852711	74.33		20454150	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																
Category and Name of the Shareholders	PAN	No. of Share-holders	No. of fully paid-up Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (V+V1+V2+V3)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (V1)+(X) As a % of A+B+C2	Number of Locked In Shares	Number of Shares pledged or otherwise encumbered	Number of Equity Shares held in Dematerialised Form	
								Class X	Class Y	Total						Total as a % of Total Voting Rights
(1) Indian	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)				(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)
(a) Individuals / Hindu Undivided Family	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Central Government / State Government(s)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Name (xyz)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Financial Institutions / Banks	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Name (xyz)																
(c) Any other (specify) – Bodies Corporate																
1 Imperial Constants & Securities Private Limited	AAACG4413G	1	6878409	0	0	6878409	3.32	6878409	0	6878409	0	2.09	0	0	6810327	99.01
2 Essar Steel India Limited	AAACE1741P	1	1273611	0	0	1273611	0.62	1273611	0	1273611	0	0.39	0	0	100.00	100.00
Sub-Total (A)(1)		2	8152020	0	0	8152020	3.94	8152020	0	8152020	0	2.47	0	0	8083938	99.16
(2) Foreign																
(a) Individuals (Non-Resident Individuals / Foreign Individuals)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Name (xyz)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b) Government	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Name (xyz)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Institutions	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Name (xyz)	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Portfolio Investor	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Name (xyz)																
(c) Any other (specify) – Bodies Corporate																
Essar Shipping Mauritius Holdings Limited (earlier known as Essar	AAACE3223G	1	124362408	0	0	124362408	60.09	124362408	0	124362408	0	37.71	0	0	124362408	100.00
Africa Steel Holdings Limited	AABCE167E	1	21406365	0	0	21406365	10.34	21406365	0	21406365	0	43.74	0	0	21406365	100.00
Essar Shipping & Logistics Limited	AADC-E8083D	1	33	0	0	33	0.00	33	0	33	0	0	0	0	33	0.00
Essar Ports & Shipping Limited		3	145768806	0	0	145768806	70.43	145768806	0	145768806	0	81.44	0	0	145768773	99.99
Sub-Total (A)(2)		5	153920826	0	0	153920826	74.37	153920826	0	153920826	0	83.91	0	0	153852711	99.96
Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)																
Details of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat / unclaimed suspense account, voting rights which are frozen, etc.																
Note:																
(1) PAN would not be displayed on website of Stock Exchange(s)																
(2) The term Encumbrance has the same meaning as assigned under Regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																

Category and Name of the Shareholders	PAN	No. of Share-holders	No. of fully paid-up Equity Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (IV)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding Convertible Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of A+B+C2	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of Equity Shares held in Dematerialised Form
								Class X	Class Y	Total				As a % of total shares held (a)	As a % of total shares held (b)	As a % of total shares held (a)	As a % of total shares held (b)	
(1) Institutions																		
(a) Mutual Funds/UTI	N.A.	32	42903	0	0	42903	0.02	42903	0.02	0	0	0.01	0	0	0	0	0	26875
(b) Venture Capital Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) Alternate Investment Funds	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Foreign Venture Capital Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Foreign Portfolio Investors	N.A.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(f) Financial Institutions/ Banks	N.A.	108	42531	0	0	42531	0.02	42531	0.02	0	0	0.01	0	0	0	0	0	18105
(g) Insurance Companies	N.A.	4	120108	0	0	120108	0.06	120108	0.06	0	0	0.04	0	0	0	0	0	120092
(h) Provident Funds / Pension Funds	N.A.	0	0	0	0	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
(i) Any other (specify)	N.A.	39	2088483	0	0	2088483	1.01	2088483	1.01	0	0	0.63	0	0	0	0	0	2082331
1 India Opportunities growth Fund Limited	AAACCS037L	1	6427119	0	0	6427119	3.11	6427119	3.11	0	0	1.95	0	0	0	0	0	6427119
2 Aquarius India Opportunities Fund	AAACB851D	1	2550000	0	0	2550000	1.23	2550000	1.23	0	0	0.77	0	0	0	0	0	2550000
Sub-Total (B)(1)		185	11271144	0	0	11271144	5.45	11271144	5.45	0	0	3.42	0	0	0	0	0	11224522
(2) Government / State		0	0	0	0	0	0.00	0	0.00	0	0	0	0	0	0	0	0	0
(3) Non-Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(4) Individuals -																		
(i) Individual Shareholders holding nominal share capital upto Rs.2 lakhs.		85975	10183306	0	0	10183306	4.92	10183306	4.92	0	0	3.09	0	0	0	0	0	7915837
(ii) Individual Shareholders holding nominal share capital in excess of Rs.2 lakhs.		72	8358025	0	0	8358025	4.04	8358025	4.04	0	0	2.53	0	0	0	0	0	8358025
(b) NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c) EMO/Overseas Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e) Any other (specify)		961	8110305	0	0	8110305	3.92	8110305	3.92	0	0	2.46	0	0	0	0	0	8065179
Bodies Corporate		1	2849002	0	0	2849002	1.38	2849002	1.38	0	0	0.86	0	0	0	0	0	2849002
Puran Associates Pvt Ltd	AAACPM458I	1	2848999	0	0	2848999	1.37	2848999	1.37	0	0	0.86	0	0	0	0	0	2848999
VIC Enterprises Pvt Ltd	AAACV01328	1	2843999	0	0	2843999	1.37	2843999	1.37	0	0	0.86	0	0	0	0	0	2843999
M B Finnart Pvt Ltd	AAACD978C	1	2675179	0	0	2675179	1.29	2675179	1.29	0	0	0.81	0	0	0	0	0	2675179
KRENDEE MULTI TRADING LIMITED	AAAC50778A	1	2309000	0	0	2309000	1.12	2309000	1.12	0	0	0.70	0	0	0	0	0	2309000
Comm Securities Pvt Ltd	AAARCS945Q	1	876823	0	0	876823	0.42	876823	0.42	0	0	0.27	0	0	0	0	0	8141118
Non Resident Indians		961	876823	0	0	876823	0.42	876823	0.42	0	0	0.27	0	0	0	0	0	3867338
Sub-Total (B)(3)		87974	41054638	0	0	41054638	19.94	41054638	19.94	0	0	12.45	0	0	0	0	0	3867338
Total (B)(1) + (B)(2) + (B)(3)		88159	52325782	0	0	52325782	25.28	52325782	25.28	0	0	15.96	0	0	0	0	0	49903860

Details of the shareholders acting as persons in concert including their Shareholding (No. and %) of shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat / unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to be disclosed along with the name of following persons:

(3) V.V. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



Table IV - Statement showing shareholding pattern of the Non-Promoter - Non-Public Shareholder

Category and Name of the Shareholders	PAN	No. of Share-holders	No. of fully paid-up Equity Shares held	No. of Partly Paid-up Equity Shares held	No. of Shares underlying Depository Receipts	Total No. of Shares held (IV)+(V)+(VI)	Share-holding as a % of total no. of shares (calculated as per SCRR 1957) (As a % of A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding Convertible Securities (including Warrants)	Share-holding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) As a % of A+B+C2	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of Equity Shares held in Dematerialised Form
								Class X	Class Y	Total			(a)	(b)	(a)	(b)	
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
(a)	Name of DR Holder (if available)							No. of Voting Rights					No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(i)	abc.....							Total as a % of Total Voting Rights									
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
	IL AND FS Trust Company Limited	AAATE4697F	1	729464	0	729464	0.35			0	0.22	0	0	0	NA	729464	
	Total Non-Promoter - Non-Public Shareholding (C) = (C1)+(C2)		1	729464	0	729464	0.35			0	0.22	0	0	0	NA	729464	

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

For Essar Shipping Limited

Awaneesh Srivastava  
Company Secretary

