

1. Name of Listed Entity: Essar Shipping Limited
2. Scrip Code/Name of Scrip/Class of Security: ESSARSHPNG
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	Yes	Promoter and Promoter Group
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Classes eg: X	Classes eg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Promoter &	5	1539208	0	0	153920	74.37	1539	0	153	74.37	12285278	83.91	0	0.00	145	94.70	15392082

	Promoter Group		26			826		20826		920826		7				768773		6
B	Public	86447	53055246	0	0	53055246	25.63	53055246	0	53055246	25.63	0	16.09	0	0.00			50739365
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	86452	206976072	0	0	206976072	100.00	206976072	0	206976072	100.00	122852787	100.00	0	0.00	145768773	70.43	204660191

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (i)	PAN (ii)	No. of share older (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
As a % of (A+B+C2) (viii)			Class X	Class Y	Total													
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Any Other (specify)	2	81520	0	0	81520	3.94	81520	0	81520	3.94	0	2.47	0	0.00	0	0.00	81520
	Bodies Corporate	2	81520	0	0	81520	3.94	81520	0	81520	3.94	0	2.47	0	0.00	0	0.00	81520
	Imperial Consultants and Securities Limited	AAA CG44 13G	1 6878409	0	0	6878409	3.32	6878409	0	6878409	3.32	0	2.09	0	0.00	0	0.00	6878409

	Essar Steel India Limited	AAA CE17 41P	1	1273611	0	0	1273611	0.62	1273611	0	1273611	0.62	0	0.39	0	0.00	0	0.00	1273611
	Sub-Total (A)(1)		2	8152020	0	0	8152020	3.94	8152020	0	8152020	3.94	0	2.47	0	0.00	0	0.00	8152020
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
e	Any Other (specify)		3	145768806	0	0	145768806	70.43	145768806	0	145768806	70.43	122852787	81.44	0	0.00	145768773	100.0	145768806
	Bodies Corporate		3	145768806	0	0	145768806	70.43	145768806	0	145768806	70.43	122852787	81.44	0	0.00	145768773	100.0	145768806
	Essar Shipping Mauritius Holdings Limited	AAE CE32 23G	1	124362408	0	0	124362408	60.09	124362408	0	124362408	60.09	0	37.71	0	0.00	124362408	100.0	124362408
	IDH International Drilling Holdco Limited	AAB CE61 67E	1	21406365	0	0	21406365	10.34	21406365	0	21406365	10.34	122852787	43.74	0	0.00	21406365	100.0	21406365
	Essar Ports and Shipping Limited	AAD CE80 83D	1	33	0	0	33	0.00	33	0	33	0.00	0	0.00	0	0.00	0	0.00	33

Sub-Total (A)(2)		3	1457608806	0	0	145768806	70.43	1457608806	145768806	70.43	12285277	81.44	0	0.00	145768773	100.00	145768806
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	153920826	0	0	153920826	74.37	153920826	153920826	74.37	12285277	83.91	0	0.00	145768773	94.70	153920826

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Mutual Funds/		31	17685	0	0	17685	0.01	17685	0	17685	0.01	0	0.01	0	0.00			1657
b	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
c	Alternate Investment Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
d	Foreign Venture Capital Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
e	Foreign Portfolio Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
f	Financial		108	26733	0	0	26733	0.01	26733	0	26733	0.01	0	0.01	0	0.00			2307

	Institutions/ Banks										33							
g	Insurance Companies	4	120108	0	0	120108	0.06	120108	0	120108	0.06	0	0.04	0	0.00			120092
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)	41	1567785	0	0	1567785	7.57	1567785	0	1567785	7.57	0	4.75	0	0.00			15671633
	Bodies Corporate	2	12794450			12794450	6.18	12794450		12794450	6.18	0	3.88		0.00			12794450
	Other	39	288335			288335	1.39	288335		288335	1.39	0	0.87		0.00			2877183
	Sub-Total (B)(1)	184	15842311	0	0	15842311	7.65	15842311	0	15842311	7.65	0	4.81	0	0.00			15795689
2	Central Government/ State Government(s))/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non- institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -	84441	14918760	0	0	14918760	7.21	14918760	0	14918760	7.21	0	4.52	0	0.00	0	0.00	12750709
i	Individual shareholders holding nominal share	84363	10911739	0	0	10911739	5.27	10911739	0	10911739	5.27	0	3.31	0	0.00			8743688

	capital up to Rs. 2 lakhs.																	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	78	4007021	0	0	4007021	1.94	4007021	4007021	1.94	0	1.21	0	0.00			4007021	
b	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
c	Employee Trusts	1	181880	0	0	181880	0.09	181880	181880	0.09	0	0.06	0	0.00			181880	
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00			0	
e	Any Other (specify)	1821	22112295	0	0	22112295	10.68	22112295	22112295	10.68	0	6.70	0	0.00			22011087	
	Non-Resident Indian (NRI)	974	793757			793757	0.38	793757	793757	0.38	0	0.24		0.00			737414	
	Bodies Corporate	841	4752033			4752033	2.30	4752033	4752033	2.30	0	1.44		0.00			4707168	
	Bodies Corporate	6	16566505			16566505	8.00	16566505	16566505	8.00	0	5.02		0.00			16566505	
	Sub-Total (B)(3)	86263	37212935	0	0	37212935	17.98	37212935	37212935	17.98	0	11.28	0	0.00			34943676	
	Total Public Shareholding (B)= (B)(1)+(B)(2)	86447	53055246	0	0	53055246	25.63	53055246	53055246	25.63	0	16.09	0	0.00			50739365	

	+(B)(3)																		
	India opportunities growth fund - pinewood strategy	AAC CI503 7L	1	10244450			10244450	4.95	10244450	10244450	4.95	0	3.11		0.00				10244450
	Aquarius India opportunities fund	AAJC A885 1D	1	2550000			2550000	1.23	2550000	2550000	1.23	0	0.77		0.00				2550000
	Manphool Exports Limited	AAA CM14 26D	1	2964000			2964000	1.43	2964000	2964000	1.43	0	0.90		0.00				2964000
	Puran Associates Pvt Ltd	AAA CP04 58J	1	2849002			2849002	1.38	2849002	2849002	1.38	0	0.86		0.00				2849002
	VIC enterprises pvt ltd	AAA CV01 32B	1	2848999			2848999	1.38	2848999	2848999	1.38	0	0.86		0.00				2848999
	M B Finmart pvt ltd	AAA CD96 78C	1	2843999			2843999	1.37	2843999	2843999	1.37	0	0.86		0.00				2843999
	Kredence Multitrading Limited	AAA CS07 78A	1	2675179			2675179	1.29	2675179	2675179	1.29	0	0.81		0.00				2675179
	Globe Capital Market ltd	AAA CG42 67G	1	2385326			2385326	1.15	2385326	2385326	1.15	0	0.72		0.00				2385326

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)			
								Class X	Class Y	Total			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)				
																		No of Voting Rights		
																		Total as a % of Total Voting rights		
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0			
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0			
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0			

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %